



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Ín re Application for:

Namit JAIN, et al.

Serial No.: 10/718,823

Filing Date: November 21, 2003

For: EFFICIENT LOADING OF DATA INTO A

**RELATIONAL DATABASE** 

Confirmation No.: 1338

Examiner: Channavajjala, Srirama T.

Group Art Unit: 2166

## INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with 37 CFR §§ 1.97 and 1.98, the items identified in this Information Disclosure Statement (IDS) are being brought to the attention of the Office. The items are listed on the attached forms PTO/SB/08A and PTO/SB/08B. The Examiner is requested to make these documents of record.

The items identified in this IDS may or may not be "material" pursuant to 37 CFR § 1.56. The submission thereof by Applicant(s) is not to be construed as an admission that any such patent, publication or other information referred to therein is material or considered to be material (37 CFR § 1.97(h)), or even qualifies as "prior art" under 35 USC § 102 with respect to this invention unless specifically designated by Applicant(s) as such.

## 1. Timing of the Information Disclosure Statement:

This IDS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b), that is (1) with the new patent application submitted herein (37 CFR § 1.97(a)); or (2) within three months of the filing date of the application, which is not a continued prosecution application filed under § 1.53(d) or (3) within three months of entry of the national stage as set forth in 37 CFR § 1.491; or (4) before the mailing of a first Office action on the merits; or (5) before the mailing of a first Office action after filing a request for continued examination under § 1.114. Thus, no fee is required.

		requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and charge the fee due under 37 CFR § 1.17(p) to the deposit account referenced below.
		However, if the undersigned is in error in this regard, Applicant(s) respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and a statement under 37 CFR § 1.97(e) is included below, thus no fee is required.
$\boxtimes$	This ID	S is being submitted under 37 CFR § 1.97(c), that is after mailing of a first Office
Action	on the	merits, but before a Final Action under 37 CFR § 1.113 or a Notice of Allowance
under	37 CFR	§ 1.311.
	This ID	S is being submitted under 37 CFR § 1.97(d), that is after a Final Action under
37 CFI	R § 1.11	3 or a Notice of Allowance under 37 CFR § 1.311, but before payment of the
issue f	ee. As	tatement under 37 CFR § 1.97(e) is included below. Charge the fee due under
37 CFI	R <sub>.</sub> § 1.17	7(p) to the deposit account referenced below.
□ 37 CFI issue f	R § 1.11	OS is being submitted under 37 CFR § 1.97(i), that is after a Final Action under 13 or a Notice of Allowance under 37 CFR § 1.311, but before payment of the
issue i	ee.	
		Charge the fee due under 37 CFR § 1.17(p) to the deposit account referenced below.
		The fee due under 37 CFR § 1.17(p) is being concurrently submitted with the filing of an electronic IDS submission. Thus, no additional fee is required.
		A statement under 37 CFR § 1.97(e) is included below.
		A statement under 37 CFR § 1.97(e) is included below, thus no fee is required. In the event that this IDS is not received before a Final Action or a Notice of Allowance, then Applicant(s) respectfully requests that the Office consider the filing of these papers to be submitted under 37 CFR § 1.97(d) and charge the fee due under 37 CFR § 1.17(p) to the deposit account referenced below.
		STATEMENT UNDER 37 CFR § 1.97(e):
	That ea	ach item of information contained in the IDS was first cited in any communication
from a	foreign	patent office in a counterpart foreign application not more than three months
prior to	the filir	ng of the IDS; or
	That no	o item of information contained in the IDS was cited in a communication from a
foreigr	patent	office in a counterpart foreign application, and, to the knowledge of the person

signing the certification after making reasonable inquiry, no item of information contained in the IDS was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of the IDS.

2. Co	pies of the Cited Items:
	Copies of all the items listed on the attached form PTO/SB/08A are enclosed.
⊠ Nos. 1	Copies of all of the following items listed on the attached form PTO/SB/08A (Item -19, 23-41, 43-60, 62-79 and 81-89) are not enclosed. See 37 CFR 1.98(a)(2)(i).
⊠ 61, 80	Copies of the following items listed on the attached forms PTO/SB/08A (Item Nos. 42, and 90) and PTO/SB/08B (Item Nos. 110-119) are enclosed.
submi 10/648 earlier	Copies of the following documents listed in PTO/SB/08A (Item Nos. 20-22) and SB/08B (Item Nos. 91-109) are not supplied as they were previously cited by the Office of the in Information Disclosure Statements in related applications (Application Nos. 3,577 and 10/648,600 filed August 25, 2003) and relied upon in this application for an filing date under 35 USC § 120. See 37 CFR § 1.98(d). The Examiner is requested to these documents of record.
сору с	Copies of the following items listed on the attached forms PTO/SB/08A (Item Nos. *) TO/SB/08B (Item Nos. *) were cited in a foreign examination report in a related case. A of the search report and the cited references not already of record in this application are ed hereto.
3. Re	lated Applications:
• •	Applicant(s) brings to the Office's attention the following related application(s): ation No. 10/259,278 filed September 27, 2002, now U.S. Patent No. 7,096,224 and ation Nos. 10/648,577, 10/648,600 and 10/648,749 filed August 25, 2003,

Citation of the above documents shall not be construed as:

 an admission that the documents are necessarily prior art with respect to the instant invention;

4. Conclusion:

- 2. a representation that a search has been made, other than as described above; and
- an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

It is respectfully requested that the Examiner indicate consideration of the cited references by returning a copy of the attached forms PTO/SB/08A and PTO/SB/08B with initials or other appropriate marks. In the unlikely event that the transmittal letter is separated from this document and the U.S. Patent Office determines that an extension and/or other relief is required, Applicant(s) petitions for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 50-2518**, referencing **7035742001**. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated:

BINGHAM MCCUTCHEN LLP

Three Embarcadero Center San Francisco, California 94111-4067

Telephone: (650) 849-4820 Facsimile: (650) 849-4800

Respectfully submitted,

Sasper Kwoh

Registration No. 54,921

PTO/SB/17 (12-04v2)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Effective on 12/08/2004

Fees pursuant to the Consolidated Appropri	riations Act. 2005 (H.R. 4818).	8). Complete if Known				
1 61		Application Number	10/718,823			
FEE TRANS	WILLAL	Filing Date	November 21, 2003			
for FY 2	006	First Named Inventor	Namit JAIN			
Applicant claims small entity sta	tus. See 37 CFR 1.27	Examiner Name	.2166			
		Art Unit	Channavajjala, SriramaT.			
TOTAL AMOUNT OF PAYMENT	(\$) 130	Attorney Docket No.	OI7035742001			

METHOD OF PAYMEN	<b>T</b> (check al	l that apply)					
☐ Check ☐ Credit Ca	rd 🗌 Mor	ney Order 🔲 N	None  Other	please identify) :			
☑ Deposit Account Dep	osit Accoun	t Number: 50251	8	Deposit Account	Name: Bingl	ham McCutchen	LLP
For the above-id	entified depo	sit account, the l	Director is hereby	authorized to: (che	eck all that ap	ply)	
Charge fe	e(s) indicate	d below		Charge f	ee(s) indicate	ed below, except	t for the filing fee
Charge ar	ny additional	fee(s) or underpa	ayments of fee(s)	Credit ar	ny overpayme	ents	
Under 37	CFR 1.16 ar	nd 1.17			aludad aa dhia	form Broyido ara	edit oped
WARNING: Information on th information and authorizatio			edit card informati	on should not be inc	cluded on this	Torm. Provide cre	dit card
FEE CALCULATION							
1. BASIC FILING, SEA	ARCH, AND	EXAMINATIO	N FEES				
	FILING F		SEARCH			ATION FEES	
Amuliointian Trina		Small Entity	E(t)	Small Entity	Fee(\$)	Small Entity Fee(\$)	Fees Paid (\$)
Application Type Utility	Fee (\$)	<u>Fee(\$)</u> 150	<u>Fee(\$)</u> 500	Fee(\$) 250	200	100	rees raiu (\$)
•	200	100	100	50	130	65	
Design Plant	200	100	300	150	160	80	
	300	150	500	250	600	300	
Reissue	200	100	0	0	000	0	
Provisional		100	U	U	U	ŭ	
2. EXCESS CLAIM FE	ES						Small Entity
Fee Description	1 1' B'					Fee (\$)	Fee (\$)
Each claim over 20 (inc Each independent clain						50 200	25 100
Multiple dependent cla		luding Keissues)				360	180
Total Claims	Extra C	laims Fee	e(\$) Fee	Paid (\$)			Dependent Claims
20 or HP	-		_ = _			Fee (\$)	
HP = highest number of	total claims pa	id for, if greater tha	n 20.			<u> </u>	
Indep. Claims	Extra C	laims Fee	e(\$) Fee	Paid (\$)			
- 3 or HP=		x		<u> </u>			
HP = highest number of	independent c	laims paid for, if gre	eater than 3.				
3. APPLICATION SIZE	FEE						
If the specification and d							
				\$250 (\$125 for sm	all entity) for	each additional	. 50
sheets or fraction					-4' 44	-f F (¢)	F D-:- (6)
Total Sheets				itional 50 or fra to a whole numb		or ree (\$)	Fee Paid (\$)
	=	/ 50 =	(round <b>up</b>	to a whole numb	ber) x		
4. OTHER FEE(S)			n n				Fees Paid (\$)
Non-English Spe	•	,					.120
Other (e.g., late	tiling surcha	rge) : Information	n Disclosure Staten	nent			130

SUBMITTED BY						
Signature	9	$\mathcal{C}$	Registration No. (Attorney/Agent)	54921	Telephone	650-849-4820
Name (Print/Type)	Jasper Kwon				Date	January 29, 2007

Approved for use through 03/31/2007. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Inder the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

/

for form1449A-PTO

FEB 0:1 2007

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of

Complete if Known					
Application Number	10/718,823				
Filing Date	November 21, 2003				
First Named Inventor	Namit JAIN				
Art Unit	2166				
Examiner Name	Channavajjala, Sriama T.				
Attorney Docket Number	OI7035742001				

		U.S	<u>. PATENT DO</u>	CUMENTS	
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines,
initials*	No.1	Number – Kind Code <sup>2</sup> (if known)	MM-DD-YY	Application of Cited Document	Where Relevant Passages or
					Relevant Figures Appear
	1	US-5295261	03-15-1994	Simonetti	·
	2	US-5404513	04-04-1995	Powers et al.	
	3	US-5467471	11-14-1995	Bader	
	4	US-5680614	10-21-1997	Bakuya et al.	
	5	US-5724577	03-03-1998	Exley et al.	
	6	US-5734887	03-31-1998	Kingberg et al.	
	7	US-5987506	11-16-1999	Carter et al.	
	8	US-5964407	10-12-1999	Sandkleiva	
	9	US-5974407	10-26-1999	Sacks	
	10	US-6038563	03-14-1999	Bapat et al.	
	11	US-6055544	04-25-2000	DeRose e al.	
	12	US-6061684	05-09-2000	Glasser et al.	
	13	US-6085198	07-04-2000	Skinner et al.	
	14	US-6128610	10-03-2000	Srinivasan et al.	
	15	US-6141655	10-31-2000	Johnson et al.	
	16	US-6154741	11-28-2000	Feldman	
	17	US-6199195 B1	03-06-2001	Goodwin et al.	
-,-,	18	US-6208993 B1	03-27-2001	Shadmon	
	19	US-6236988 B1	05-22-2001	Aldred	

7

		FOREIGN PAT	ENT DOCU	MENTS		
Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines,	
Initials*	No.1	Country Code <sup>3</sup> – Number <sup>4</sup> – Kind Code <sup>5</sup> (if known)	MM-DD-YY	Application of Cited	Where Relevant Passages	
		<u> </u>		Document	or Relevant Figures Appear	T <sup>6</sup>
	20	JP 60-218142	10-31-1985	Hitachi LTD.,		<u> </u>
	21	JP 2-165353	06-26-1990	Fujitsu LTD.		<u> </u>
	22	JP 8-249159	09-27-1996	Fujitsu LTD.		<u> </u>

Examiner's	Date	
Signature	Considered	
_		

<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known

					Complete if Known			
Subst	ubstitute for form1449A-PTO		Application Number	10/718,823				
	INFORI	MATION I	nisci Os	SURF	Filing Date	November 21, 2003 Namit JAIN 2166		
					First Named Inventor			
	SIAIE	MENT BY	APPLI	CANI	Art Unit			
	(use	as many sheet	s as necessa	ry)	Examiner Name	Channavajjala, Sriama T.		
	Sheet	2	of	7	Attorney Docket Number	Ol7035742001		

<u> </u>	0:4-	1	. PATENT DO	<del></del>	Doggo Columno Lines
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines,
Initials*	No. <sup>1</sup>	Number – Kind Code <sup>2</sup> (if known)	MM-DD-YY	Application of Cited Document	Where Relevant Passages o
				·	Relevant Figures Appear
	23	US-6263332 B1	07-17-2001	Nasr et al.	
	24	US-6269380 B1	07-31-2001	Terry et al.	
	25	US-6279006 B1	08-21-2001	Shigemi et al.	
	26	US-6279007 B1	08-21-2001	Uppala	
	27	US-6298349 B1	10-02-2001	Toyoshima et al.	
	28	US-6343287 B1	01-29-2002	Kumar et al.	
	29	US-6356920 B1	03-12-2002	Vandersluis	
	30	US-6366934 B1	04-02-2002	Cheng et al.	
	31	US-6370537 B1	04-09-2002	Gilbert et al.	
	32	US-6470344 B1	10-22-2002	Kothuri et al.	
	33	US-6470494 B1	10-22-2002	Chan et al.	
	34	US-6487546 B1	11-26-2002	Witkowski	
	35	US-6496842 B1	12-17-2002	Lyness	
	36	US-6542911 B2	04-01-2003	Chakraborty et al.	
	37	US-6571231 B2	05-27-2003	Sedlar	
	38	US-6604100 B1	08-05-2003	Fernandez et al.	
	39	US-6636845 B1	10-21-2003	Chau et al.	
	40	US-6675230 B1	01-06-2004	Lewallen	
	41	US-6718322 B1	04-06-2004	Brye	

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code <sup>3</sup> – Number <sup>4</sup> – Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YY	Name of Patentee or Application of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Т	
	42	WO 00/049533	08-24-2000	Oracle Corporation			

Examiner's Signature	Date Considered	

<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \(^1\) Applicant's unique citation designation number (optional). \(^2\) See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. \(^3\) Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \(^4\) For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \(^5\) Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. \(^6\) Applicant is to place a check mark here if English language Translation is attached.

OI7035742001

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form1449A-PTO **Application Number** 10/718,823 November 21, 2003 **Filing Date** INFORMATION DISCLOSURE **Namit JAIN First Named Inventor** STATEMENT BY APPLICANT 2166 Art Unit Channavajjala, Sriama T. **Examiner Name** (use as many sheets as necessary)

Attorney Docket Number

Sheet

Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines,
Initials*	No. <sup>1</sup>	Number – Kind Code <sup>2</sup> (if known)	MM-DD-YY	Application of Cited Document	Where Relevant Passages or Relevant Figures Appear
	43	US-6725212 B1	04-20-2004	Couch et al.	
	44	US-6754661 B1	06-22-2004	Hallin et al.	
	45	US-6772180 B1	08-03-2004	Li et al.	
	46	US-6772350 B1	08-03-2004	Belani et al.	
	47	US-6785673 B1	08-31-2004	Fernandez et al.	
	48	US-6826568 B2	11-30-2004	Bernstein et al.	
	49	US-6836778 B1	12-28-2004	Manikutty et al.	
	50	US-6915307 B1	07-05-2005	Mattis et al.	
	51	US-6941511 B1	09-06-2005	Hind et al.	
	52	US-6964025 B2	11-08-2005	Angiulo et al.	
	53	US-7024425 B2	04-04-2006	Krishnaprasad et al.	
	54	US-7031956 B1	04-18-2006	Lee et al.	
	55	US-7036072 B1	04-25-2006	Sulisito et al.	
	56	US-7096224 B2	08-22-2006	Murthy et al.	
	57	US-2002/0007363 A1	01-17-2002	Vaitzblit	
	58	US-2002/0087510 A1	07-04-2002	Weinberg et al.	
	59	US-2002/0029229 A1	03-07-2002	Jakopac et al.	
	60	US-2002/0147763 A1	10-10-2002	Lee et al.	

	FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code <sup>3</sup> – Number <sup>4</sup> – Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YY	Name of Patentee or Application of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵
	61	WO 01/042881 A2	06-14-2001	B-Bop Associates, Inc.		

Examiner's Signature	Date Considered	

<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known Substitute for form1449A-PTO 10/718,823 **Application Number** November 21, 2003 **Filing Date** INFORMATION DISCLOSURE **Namit JAIN First Named Inventor** STATEMENT BY APPLICANT 2166 Art Unit Channavajjala, Sriama T. **Examiner Name** (use as many sheets as necessary) OI7035742001 Sheet 7 Attorney Docket Number

		U.S	PATENT DO	CUMENTS	
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines,
Initials*	No. <sup>1</sup>	Number – Kind Code <sup>2</sup> (if known)	.MM-DD-YY	Application of Cited Document	Where Relevant Passages or Relevant Figures Appear
	62	US-2002/0184401 A1	12-05-2002	Kadel Jr. et al.	
	63	US-2003/0004937 A1	01-02-2003	Salmenkaita et al.	•
	64	US-2003/0009361 A1	01-09-2003	Hancock et al.	
	65	US-2003/0065659 A1	04-03-2003	Agarwal et al.	
	66	US-2003/0093672 A1	05-15-2003	Cichowlas	
	67	US-2003/0101194 A1	05-29-2003	Rys et al.	
	68	US-2003/0120665 A1	06-26-2003	Fox et al.	
	69	US-2003/0154204 A1	08-14-2003	Chen-Wright et al.	
	70	US-2003/0172135 A1	09-11-2003	Bobick et al.	
	71	US-2003/0182624 A1	09-25-2003	Large	
	72	US-2003/0204787 A1	10-30-2003	Bartucca et al.	
	73	US-2004/0015936 A1	01-22-2004	Susarla et al.	
	74	US-2004/0043758 A1	03-04-2004	Sorvari et al.	
	75	US-2004/0064466 A1	04-01-2004	Manicutty et al.	
	76	US-2004/0117359 A1	06-17-2004	Snodgrass et al.	
	77	US-2004/0176958 A1	09-09-2004	Salmenkaita et al.	
	78	US-2004/0220912 A1	11-04-2004	Manikutty et al.	
	79	US-2004/0220927 A1	11-04-2004	Murthy et al.	

	FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code <sup>3</sup> – Number <sup>4</sup> – Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YY	Name of Patentee or Application of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Т <sup>6</sup>
	80	WO 01/059602 A1	08-16-2001	Acta Technologies, Inc.		

		,	
Examiner's		Date	
Signature		Considered	
	<u> </u>		

<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \(^1\) Applicant's unique citation designation number (optional). \(^2\) See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. \(^3\) Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \(^4\) For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \(^5\) Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. \(^6\) Applicant is to place a check mark here if English language Translation is attached.

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known Substitute for form1449A-PTO **Application Number** 10/718,823 November 21, 2003 Filing Date INFORMATION DISCLOSURE **Namit JAIN First Named Inventor** STATEMENT BY APPLICANT 2166 Art Unit Channavajjala, Sriama T. **Examiner Name** (use as many sheets as necessary) OI7035742001 Sheet Attorney Docket Number

Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines,
Initials*	No. <sup>1</sup>	Number – Kind Code <sup>2</sup> (if known)	MM-DD-YY	Application of Cited Document	Where Relevant Passages of Relevant Figures Appear
	81	US-2004/0225680 A1	11-11-2004	Cameron et al.	
	82	US-2005/0010896 A1	01-13-2005	Meliksetian et al.	
	83	US-2006/0031233 A1	02-09-2006	Liu et al.	
	84	US-2006/0036935 A1	02-16-2006	Warner et al.	
	85	US-2005/0050092 A1	03-03-2005	Jain et al.	
	86	US-2005/0050058 A1	03-03-2005	Jain et al.	
	87	US-2005/0050105 A1	03-03-2005	Idicula et al.	
	88	US-2006/0031233 A1	02-09-2006	Liu et al.	
	89	US-2006/0036935 A1	02-16-2006	Warner et al.	
	,				
				<u> </u>	

FOREIGN PATENT DOCUMENTS						
Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines,	
Initials*	No.1	Country Code <sup>3</sup> – Number <sup>4</sup> – Kind Code <sup>5</sup> (if known)	MM-DD-YY	Application of Cited	Where Relevant Passages	
				Document	or Relevant Figures Appear	T <sup>6</sup>
	90	WO 01/061566 A1	08-23-2001	Verizon Laboratories,		
				Inc.		

Examiner's	 Date	
Signature	 Considered ·	

<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Approved for use through 03/31/2007. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Attorney Docket Number

Complete if Known Substitute for form 1449B-PTO **Application Number** 10/718,823 November 21, 2003 Filing Date INFORMATION DISCLOSURE **Namit JAIN First Named Inventor** STATEMENT BY APPLICANT 2166 Art Unit Channavajjala, Sriama T. **Examiner Name** (use as many sheets as necessary) OI7035742001 7

Sheet

		NON PATENT LITERATURE DOCUMENTS	
Examiner	Cite	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal,	Т
Initials*	No.1	serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher city and/or country where published	
	91	"10.1. What is the Direct Path?" from Oracle SQL*Loader: The Definitive Guide. Published by O'Reilly & Associates, 2001	
	92	"Conventional and Direct Path Loads" from Oracle 9i Database Utilities Release 2. Copyright 2002 Oracle Corporation. Available online at http://www.utexas.edu/its/unix/reference/oracledocs/v92/B10501_01/server.920/a96652/ch09.htm	
	93	"Java programming dynamics, Part 2: Introducing reflection" by Dennis Sosnoski. Published 3 June 2003.  Available online at http://www-128.ibm.com/developerworks/library/j-dyn0603/	
	94	"Objects, Collections, and OPAQUE Types" from Oracle9i SQLJ Developer's Guide and Reference Release 2 (9.2). Copyright 1999, 2002. Available online at http://www.lc.leidenuniv.nl/awcourse/oracle/java.920/a96655/objcoll.htm	
	95	"SerialJavaObject (Java 2 Platform SE 5.0)", available online at http://java.sun.com/j2se/1.5.0/docs/api/javax/sql/rowset/serial/SerialJavaObject.html. Accessed April 8, 2006. Copyright 2004 Sun Microsystems	
	96	"Using Java Reflection" by Glen McCluskey. Published January 1998. Available online at http://java.sun.com/developer/technicalArticles/ALT/Reflection/	
	97	CHEN, R-S, et al. "Developing an XML Framework for Metadata System" Trinity College, Dublin, Proceedings of the 1st Int'l Symposium on Information and Communication, pp. 267-272	
	98	HIGGINS, S., Oracle Corporation, "Oracle9i, XML Database Developer's Guide - Oracle XML DB" Release 2 (9.2), October, 2002, Part No. A96620-02, p. 1-1,044	
	99	INFORMIX CORPORATION "Universal Data Option for Informix Dynamic Server", 1998, 8 pages	Г
	100	IPEDO, Inc., "Ipedo XML Database 3.1: Developer's Guide" 2001, XP-002306148, 12 pages	
	101	LI, X. "A Survey of Schema Evolution in Object-Oriented Databases" IEEE, 1999, pp. 362-371	
	102	MANOLESCU, D., Review of "Metadata solutions: using metamodels, repositories, XML, and enterprise portals to generate information on demand by Adrienne Tannebaum", ACM Press, March, 2003, Vol. 28, Issue 2, page 38	
	103	ORACLE "Conventional and Direct Path Loads" Chapter 9 of Oracle 9i Database Utilities - Release 2 (9.2), Copyright 2002 Oracle Corporation, 29 pages	
	104	PARKERSON, C. "Ipedo XML Database 3.1 Now Available" July 22, 2002, XP-002306149, pp. 1-2	
	105	RASHID, A. "A Framework for Customisable Schema Evolution in Object-Oriented Databases" Proceedings of the 7th Int'l Database Engineering and Applications Symposium (IDEAS'03), IEEE, 2003, 5 pages	
	106	RIGGS, R. et al. "Pickling State in the Java™ System" XP002128178, 10 pages	

Examiner's	Date
Signature	Considered

<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. This information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Approved for use through 03/31/2007. OMB 0651-0305

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449B-PTO **Application Number** 10/718,823 November 21, 2003 Filing Date INFORMATION DISCLOSURE **Namit JAIN First Named Inventor** STATEMENT BY APPLICANT 2166 Art Unit Channavajjala, Sriama T. **Examiner Name** (use as many sheets as necessary) OI7035742001 Sheet Attorney Docket Number

		NON PATENT LITERATURE DOCUMENTS		
Examiner	naminer Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, jour			
Initials*	No.¹	senal, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher city and/or country where published		
	107	SU, H. et al. "XEM: XML Evolution Management" January, 2002, XP-002306151, pp. 1-32		
	108	TÜRKER, C., "Schema Evolution in SQL-99 and Commercial (Object-) Relational DBMS" 9th Int'l Workshop on Foundations of Models and Languages for Data and Objects Fomlado/Demm 2000, September 18, 2000, XP-002306150, pp. 1-32		
	109	WANG, Y. et al. "X-Diff: An Effective Change Detection Algorithm for XML Documents" Proceedings of the 19th Int'l Conference on Data Engineering (ICDE'03), IEEE, 2003, pp. 519-530		
	110	BOURRET, R. et al. "A Generic Load/Extract Utility for Data Transfer Between XML Documents and Relational Databases" Proceedings for the 2nd Int'l Workshop on Advanced Issues of E-Commerce and Web-Based Information Systems, IEEE Computing Society, June 8-9, 2000, pp. 134-143		
	111	BRAGA, D. et al. "A Graphical Environment to Query XML Data with XQuery" Proceedings of the Fourth International Conference on Web Information Systems Engineering (WISE'03), IEEE, 2003, 10 pages		
	112	CHENG, J. et al. "IBM DB2 XML Extender, An end-to-end solution for storing and retrieving XML documents" IEEE, ICDE'00 Conference, San Diego, CA, Feb. 2000, 128 pages		
	113	JAJODIA, S. et al. "Toward a Multilevel Secure Relational Data Model" ACM, 8393 SIGMOD Record, June 20, 1991, XP 000364619, No. 2, pp. 50-59		
	114	MI-OK, C. et al. "Design and Implementation of an Object-Oriented Multimedia DBMS Tightly Coupled with Information Retrieval Functions" Proceedings of the 17th IASTED Int'l Conference on Applied Informatics (Al'99), Innsbruck, Austrla, Feb. 15-18, 1999, abstract		
	115	NOSER, H. et al. "Dynamic 3D Visualization of Database-Defined Tree Structures on the WWW by Using Rewriting Systems", IEEE, 2000, XP-002262516, pp. 247-254		
	116	PENG, F. et al. "XPath Queries on Streaming Data" Proceedings of the 2003 ACM SIGMOD Int'l Conference on Management of Data (SIGMOD 2003), San Diego, CA, June 9-12, 2003, pp. 431-442		
	117	SHANMUGASUNDARAM, J. et al. "Querying XML Views of Relational Data" Proceedings of the 27th Very Large Databases Conference, Rome, Italy, September, 2001, pp. 261-270		
	118	VION-DURY, J-Y. "XPath on Left and Right Side of Rules: Toward Compact XML Tree Rewriting through Node Patterns" Proceedings of the 2003 ACM Symposium on Document Engineering, Grenoble, France, November 20-22, 2003, ACM Press, pp. 19-25		
	119	VORTHMANN, S. "Beyond Schemas, Schema Adjuncts and the Outside World" Markup Languages, Online!, June, 2000, Vol. 2, No. 3, pp. 1-8		

Examiner's	Date	
Signature	Considered	
	·	1

<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. This information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.